

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10561734	
	Filing Date		2006-06-12	
	First Named Inventor	John Hesketh		
	Art Unit	1656		
	Examiner Name	Anand U. Desai		
	Attorney Docket Number	04150.0003U2		

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	1	WO 98/36085	WO		1998-08-20	Applied Phytologics, Inc.	Corresponds to JP 2001-512318	<input type="checkbox"/>
	2	WO 87/03300	WO		1987-06-04	Biogen N.V.	Corresponds to JP S63-502717	<input type="checkbox"/>
	3	WO 99/49019	WO		1999-09-30	Prolume, Ltd.	Corresponds to JP 2002-507410	<input type="checkbox"/>

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4	JP 2001-258565	JP		2001-09-25	Hara Toshio	Abstract Only	<input type="checkbox"/>
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#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	International Search Report issued May 9, 2005 for PCT/GB2004/002779 filed on June 25, 2004, which published on January 6, 2005 as WO 2005/001099 (Hesketh et al. listed as inventors and Unitargeting Research AS listed as Applicant)	<input type="checkbox"/>
	2	International Preliminary Report on Patentability and Written Opinion issued January 3, 2006 for PCT/GB2004/002779 filed on June 25, 2004, which published on January 6, 2005 as WO 2005/001099 (Hesketh et al. listed as inventors and Unitargeting Research AS listed as Applicant)	<input type="checkbox"/>
	3	Amatruda JF, Gattermeir DJ, Karpova TS, Cooper JA. (1992) Effects of null mutations and overexpression of capping protein on morphogenesis, actin distribution and polarized secn in yeast. J Cell Biol. 119(5): 1151-1162.	<input type="checkbox"/>
	4	Erratt JA, Douglas PE, Moranelli F, Seligy VL. (1984) The induction of alpha-amylase by starch in Aspergillus oryzae: evidence for controlled mRNA expression. Can J Biochem Cell Biol. 62(8): 678-690.	<input type="checkbox"/>
	5	Jennes W, Vereecken C, Fransen K, de Roo A, Kestens L. (2004) Disturbed secretory capacity for macrophage inflammatory protein (MIP)-1 alpha and MIP-1 beta in progressive HIV infection. AIDS Res Hum Retroviruses. 20(10): 1087-1091.	<input type="checkbox"/>
	6	Kaneko M, Itoh H, Ueguchi-Tanaka M, Ashikari M, Matsuoka M. (2002) The alpha-amylase induction in endosperm during rice seed germination is caused by gibberellin synthesized in epithelium. Plant Physiol. 128(4): 1264-1270.	<input type="checkbox"/>
	7	Kolarow R, Brigadski T, Lessmann V. (2007) Postsynaptic secretion of BDNF and NT-3 from hippocampal neurons depends on calcium calmodulin kinase II signaling and proceeds via delayed fusion pore opening. J Neurosci. 27(39): 10350-10364.	<input type="checkbox"/>
	8	Lessmann V, Gottmann K, Malcangio M. (2003) Neurotrophin secretion: current facts and future prospects. Prog Neurobiol. 69(5): 341-374.	<input type="checkbox"/>

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9	Liu G, Greenshields DL, Sammynaiken R, Hirji RN, Selvaraj G, Wei Y. (2007) Targeted alterations in iron homeostasis underlie plant defense responses. J Cell Sci. 120(Pt 4): 596-605.	<input type="checkbox"/>
10	Perrin D, Möller K, Hanke K, Söling HD. (1992) cAMP and Ca(2+)-mediated secretion in parotid acinar cells is associated with reversible changes in the organization of the cytoskeleton. J Cell Biol. 116(1): 127-134.	<input type="checkbox"/>
11	Kamiya T, Sugio S, Yamanouchi K, Kagitani Y. (1996) Secretion of active Fc fragments of immunoglobulin E directed by the yeast invertase signal sequence in mammalian cells. Tohoku J Exp Med. 180(4): 297-308.	<input type="checkbox"/>
12	Communication pursuant to Article 94(3) EPC issued June 8, 2010 for EP Application No. 04 743 127.5 - 2405, which EP application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and Prolume, Ltd. as Applicant)	<input type="checkbox"/>
13	Response to Communication filed February 4, 2009 for EP Application No. 04 743 127.5 - 2405, which EP application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and Prolume, Ltd. as Applicant)	<input type="checkbox"/>
14	Communication pursuant to Article 94(3) EPC issued July 25, 2008 for EP Application No. 04 743 127.5 - 2405, which EP application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and Prolume, Ltd. as Applicant)	<input type="checkbox"/>
15	Response to Communication filed January 14, 2008 for EP Application No. 04 743 127.5 - 2405, which EP application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and UniTargeting Research AS as Applicant)	<input type="checkbox"/>
16	Loss of Rights pursuant to R. 69(1) EPC issued on November 2, 2007 for EP Application No. 04 743 127.5 - 2405, which EP application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and UniTargeting Research AS as Applicant)	<input type="checkbox"/>
17	Communication pursuant to Article 96(2) EPC issued March 15, 2007 for EP Application No. 04 743 127.5 - 2405, which EP application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and UniTargeting Research AS as Applicant)	<input type="checkbox"/>
18	First Examination Report issued November 27, 2009 for IN Application No. 388/DELNP/2006, which IN application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and Prolume Limited as Applicant)	<input type="checkbox"/>
19	Response to First Examination Report filed November 25, 2010 for IN Application No. 388/DELNP/2006, which IN application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and Prolume Limited as Applicant)	<input type="checkbox"/>

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20	Hearing Notice issued December 29, 2010 for IN Application No. 388/DELNP/2006, which IN application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and Prolume Limited as Applicant)	<input type="checkbox"/>
21	Examination Report issued May 11, 2010 for JP Application No. 2006-516484, which JP application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and UniTargeting Research AS as Applicant)	<input type="checkbox"/>
22	Office Action for KR Application No. 1020057024711, which KR application claims priority to PCT/GB2004/002779 filed on June 25, 2004 (Hesketh et al. listed as inventors and UniTargeting Research AS as Applicant)	<input type="checkbox"/>

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#### EXAMINER SIGNATURE

Examiner Signature	/Anand Desai/	Date Considered	06/30/2011
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.